

# Office of the **Information Commissioner**

Freedom of information for Western Australia



# **ANNUAL REPORT 2019/2020**

**OPERATIONAL SIGNIFICANT DISCLOSURES & KEY PERFORMANCE FINANCIAL** OIC **AGENCY** STATISTICS **OVERVIEW PERFORMANCE ISSUES** LEGAL COMPLIANCE **INDICATORS STATEMENTS STATISTICS** 

# **OIC Statistics**

Section 111 of the FOI Act requires the Commissioner to provide a report to the Speaker of the Legislative Assembly and the President of the Legislative Council on the operation of the legislation during the reporting period. As well as providing data on the operation of the FOI Act across the sector (see following section on 'Agency Statistics'), the Commissioner is required to provide data as follows:

- the number of external review applications made to the Commissioner and the results of those applications;
- the number of other applications made to the Commissioner and the results of those applications; and
- the number of appeals to the Supreme Court and results of those appeals.

The following is the statistical data reflecting complaints and applications made to the OIC during the year, and their outcomes. Details of Supreme Court appeals are outlined under Significant Issues and Trends.

For reference, a 'complaint' is a request for external review of a decision made by an agency under the FOI Act. An 'application' refers to other types of requests made to the Commissioner, including: a request to lodge an application for external review when no internal review has been completed

or the time limit within which to lodge an external review has expired; requests from agencies to waive the requirement to consult with third parties; and requests for an extension or reduction of time within which agencies are required to deal with an application.

Other statistical data in respect of the OIC's operations are also included in this section.

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Table 1: Applications received and dealt with by the Information Commissioner

APPLICATIONS RECEIVED AND DEALT WITH BY THE COMMISSIONER	RECEIVED	DEALT WITH
Complaints - valid	166	148
Complaints - informal / invalid	25	27
Section 66(6) - applications - no internal review	8	9
Section 66(4) - applications - out of time	2	2
Section 13(5) - applications for extension of time	3	3
Section 13(4) - applications for reduction of time	2	2
Section 35(1) waiver of requirement to consult	3	3
Section 48(3) request for destruction certificate	0	1
TOTAL	209	195

Table 2: Breakdown of valid external review applications made to the Information Commissioner

APPLICANT GROUP	#
Individual Citizen	128
Company	13
Member of Parliament	7
Not for Profit	4
Media	4
Prisoner	10
TOTAL	166

AGENCY GROUP	#
Department (ex. Police & Health)	45
Local Government	39
Police	29
Board, Committee, Commission, Authority, Corporation	28
Minister	13
Health-related	7
University	5
TOTAL	166

Table 3: External review applications received by the Information Commissioner

AGENCY	Valid	Invalid	Total
State Agencies:			
Acacia Prison	3	1	4
Communities, Department of	9	0	9
Edith Cowan University	2	0	2
Education, Department of	5	0	5
EMHS - Corporate Office	1	0	1
EMHS - Royal Perth Hospital	0	1	1
Forest Products Commission	1	0	1
Health, Department of	2	0	2
Insurance Commission of Western Australia	1	0	1
Justice, Department of	11	1	12
Legal Aid Western Australia	2	0	2
Legal Profession Complaints Committee	0	1	1
Local Government, Sport and Cultural Industries, Dept of	3	2	5
Lotteries Commission	1	0	1
Main Roads Western Australia	4	0	4
Mental Health Commission	1	0	1
Mines, Industry Regulation and Safety, Department of	7	0	7
NMHS - Mental Health	0	2	2
NMHS - Osborne Park Hospital	1	0	1
NMHS - PathWest Laboratory Medicine WA	1	0	1
Police, Western Australia	29	4	33
Premier and Cabinet, Department of the	1	0	1
Public Advocate, Office of the	2	0	2
Public Transport Authority	2	0	2
Public Trustee Western Australia	3	0	3

AGENCY	Valid	Invalid	Total
School Curriculum and Standards Authority	1	0	1
Solicitor General	1	0	1
State Administrative Tribunal	1	1	2
Transport, Department of	2	0	2
Treasury, Department of	1	0	1
University of Western Australia, The	3	0	3
WACHS - Goldfields	1	0	1
Water and Environmental Regulation, Department of	2	0	2
Water Corporation	9	1	10
WorkCover WA	1	0	1
Sub-total: State Agencies	114	14	128
Local Agencies:			
Augusta-Margaret River, Shire of	1	0	1
Bayswater, City of	0	2	2
Belmont, City of	1	0	1
Bunbury, City of	2	0	2
Busselton, City of	3	0	3
Cambridge, Town of	3	0	3
Canning, City of	4	1	5
Cue, Shire of	0	1	/ 1
Dardanup, Shire of	1	0	/ 1
Kalgoorlie-Boulder, City of	1	0	1
Karratha, City of	2	0	2
Melville, City of	3	0	3
Mundaring, Shire of	2	0	2
Port Hedland, Town of	1	0	1

AGENCY	Valid	Invalid	Total
South Perth, City of	2	0	2
Stirling, City of	1	1	2
Subiaco, City of	5	0	5
Swan, City of	1	0	1
Toodyay, Shire of	2	0	2
Victoria Park, Town of	2	1	3
Wanneroo, City of	2	0	3
Sub-total: Local Agencies	39	6	45
Ministers:			
Environment, Minister for	2	0	2
Fisheries, Minister for	1	0	1
Health, Minister for	1	0	1
Local Government, Minister for	1	0	1
Mines and Petroleum, Minister for	1	0	1
Planning, Minister for	1	0	1
Regional Development, Minister for	2	0	2
Tourism, Minister for	1	0	1
Transport, Minister for	3	0	3
Sub-total: Ministers	13	0	13
Agency unknown:	0	5	5
Sub-total: Unknown	0	5	5
TOTAL:	166	25	191

Table 4: Other applications received

AGENCY	OUT OF TIME s.66(4)	NO INTERNAL REVIEW s.66(6)	REDUCTION OF TIME s.13(4)	EXTENSION OF TIME s.13(5)	WAIVER s.35(1)	REQUEST FOR DESTRUCTION CERTIFICATE s.48(3)	TOTAL
Armadale, City of	0	0	0	0	1	0	1
Communities, Department of	0	1	0	1	0	0	2
Finance, Department of	0	1	0	0	0	0	1
Melville, City of	0	0	2	0	0	0	2
Perth, City of	0	0	0	0	1	0	1
Police, Western Australia	2	2	0	0	0	0	4
Public Transport Authority	0	2	0	0	0	0	2
SMHS - Fiona Stanley Hospital	0	1	0	0	0	0	1
Swan, City of	0	0	0	0	1	0	1
Toodyay, Shire of	0	1	0	0	0	0	2
Water and Environmental Regulation, Department of	0	0	0	2	0	0	2
TOTAL	2	8	2	3	3	0	19

Table 5: Outcome of external reviews finalised (by agency and category)

	CONCILIATED	PUBLISHED	DECISION BY IN COMMISSIONER		DECLINED	TOTAL
AGENCY		AGENCY DECISION CONFIRMED	AGENCY DECISION VARIED	AGENCY DECISION SET ASIDE AND SUBSTITUTED	UNDER s.67(1)(a) or s.67(1)(b)	MATTERS FINALISED
State Agencies:						
Augusta-Margaret River, Shire of	1	0	0	0	0	1
Botanic Gardens and Parks Authority	1	0	0	0	0	1
C&AHS - Perth Children's Hospital	1	0	0	0	0	1
Communities, Department of	5	0	0	0	3	8
Curtin University	0	0	1	0	0	1
Edith Cowan University	1	0	0	0	0	1
Education, Department of	2	0	0	0	0	2
EMHS - Royal Perth Hospital	1	0	0	0	0	1
Forest Products Commission	1	0	0	0	0	1
Health, Department of	0	0	0	0	1	1
Insurance Commission of Western Australia	1	0	0	0	0	1
Justice, Department of	9	0	2	0	5	16
Legal Aid Western Australia	0	1	0	0	0	1
Local Government, Sport and Cultural Industries, Department of	1	1	0	0	3	5
Lotteries Commission	0	0	0	0	1	1
Main Roads Western Australia	0	1	0	0	3	4
Mental Health Commission	0	0	0	0	1	1
Mines, Industry Regulation and Safety, Department of	3	0	0	0	1	4
Murdoch University	1	1	0	0	0	2
NMHS - Mental Health	3	0	0	0	0	3
Planning, Lands and Heritage, Department of	1	0	0	0	0	1
Police, Western Australia	16	0	0	0	10	26

	TED	PUBLISHED	DECISION BY IN		DECLINED	TOTAL
AGENCY	CONCILIATED	AGENCY DECISION CONFIRMED	AGENCY DECISION VARIED	AGENCY DECISION SET ASIDE AND SUBSTITUTED	UNDER s.67(1)(a) or s.67(1)(b)	TOTAL MATTERS FINALISED
Premier and Cabinet, Department of the	2	0	0	0	0	2
Primary Industries and Regional Development, Department of	1	0	0	0	0	1
State Administrative Tribunal	1	0	0	0	0	1
Transport, Department of	0	0	0	0	2	2
University of Western Australia, The	1	0	0	0	0	1
Water and Environmental Regulation, Department of	3	0	0	0	0	3
Water Corporation	3	1	0	0	3	7
WorkCover WA	2	0	0	0	0	2
Sub-total: State Agencies	61	5	3	0	33	102
Percentage of agency group/percentage of total	59.8%	4.9%	2.9%	0.0%	32.4%	68.9%
Local Agencies:						
Bunbury, City of	0	0	0	0	1	1
Cambridge, Town of	1	0	0	0	0	1
Canning, City of	2	0	0	0	1	3
Fremantle, City of	1	0	0	0	0	1
Kalamunda, City of	1	0	0	0	0	1
Kalgoorlie-Boulder, City of	1	0	0	0	0	1
Karratha, City of	2	0	0	0	0	2
Melville, City of	7	0	0	0	4	11
Mundaring, Shire of	2	0	0	0	0	2
Perth, City of	1	0	0	0	0	1
Subiaco, City of	2	0	0	0	0	2
Swan, City of	1	0	0	0	0	1
Toodyay, Shire of	2	0	1	0	0	3
Victoria Park, Town of	1	0	0	0	0	1
Vincent, City of	1	0	0	0	0	1

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	TED	PUBLISHED	DECISION BY IN		DECLINED	TOTAL
AGENCY	CONCILIATED	AGENCY DECISION CONFIRMED	AGENCY DECISION VARIED	AGENCY DECISION SET ASIDE AND SUBSTITUTED	UNDER s.67(1)(a) or s.67(1)(b)	TOTAL MATTERS FINALISED
Wanneroo, City of	0	0	0	0	1	1
York, Shire of	1	0	0	0	0	1
Sub-total: Local Agencies	26	0	1	0	7	34
Percentage of agency group/percentage of total	76.5%	0.0%	2.9%	0.0%	20.6%	23.0%
Ministers:						
Education and Training, Minister for	1	0	0	0	0	1
Environment, Minister for	1	0	0	0	1	2
Fisheries, Minister for	1	0	0	0	0	1
Planning, Minister for	1	0	0	0	0	1
Regional Development, Minister for	1	0	0	0	1	2
Tourism, Minister for	0	0	0	0	1	1
Transport, Minister for	3	0	0	0	1	4
Sub-total: Ministers	8	0	0	0	4	12
Percentage of agency group/percentage of total	66.7%	0.0%	0.0%	0.0%	33.3%	8.1%
TOTAL COUNT	95	5	4	0	44	148
TOTAL PERCENTAGE	64.2%	3.4%	2.7%	0.0%	29.7%	100%

Table 6: Invalid applications for external review finalised

AGENCY	#No
Acacia Prison	#NO 1
EMHS - Royal Perth Hospital	2
Justice, Department of	1
Legal Profession Complaints Committee	1
Local Government, Sport and Cultural Industries, Dep't of	2
NMHS – King Edward Memorial Hospital	1
NMHS - Mental Health	2
Police, Western Australia	4
State Administrative Tribunal	1
Water Corporation	1
Sub-total: State Agencies	16
Bayswater, City of	2
Canning, City of	1
Cue, Shire of	1
Stirling, City of	1
Victoria Park, Town of	1
Sub-total: Local Agencies	6
Agency unknown:	5
Sub-total: Agency Unknown	5
TOTAL:	27

#### Table 7: Published decisions

Decision No.	Complainant	Agency	Decision Date
<u>D0012020</u>	Rawet	Main Roads Western Australia	13/02/2020
D0022020	Toodyay Progress Association Inc.	Shire of Toodyay	12/03/2020
D0032020	'V'	Curtin University	18/03/2020
D0042020	'W'	Department of Local Government, Sport and Cultural Industries	1/05/2020
<u>D0052020</u>	National Tertiary Education Industry Union	Murdoch University	20/05/2020
D0062020	Dickens	Water Corporation	28/05/2020
D0072020	Lee	Department of Justice	26/06/2020
D0082020	'X'	Legal Aid Western Australia	26/06/2020

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Table 8: Outcome of other applications finalised

AGENCY	OUT OF TIME s.66(4)	NO INTERNAL REVIEW s.66(6)	NO INTERNAL REVIEW s.66(6)	EXTENSION OF TIME s.13(5)	EXTENSION OF TIME s.13(5)	REDUCTION OF TIME s.13(4)	WAIVER TO CONSULT s.35(1)	WAIVER TO CONSULT s.35(1)	REQUST FOR DESTRUCTION CERTIFICATE s.48(3)	TOTAL
	Refused	Refused	Withdrawn	Refused	Allowed	Refused	Refused	Conciliated	Conciliated	
State Agencies:										
Communities, Department of	0	1	0	1	0	0	0	0	0	2
Finance, Department of	0	1	0	0	0	0	0	0	0	1
Mines, Industry Regulation and Safety, Dept of	0	0	1	0	0	0	0	0	0	1
Police, Western Australia	2	2	0	0	0	0	0	0	0	4
Public Transport Authority	0	2	0	0	0	0	0	0	0	2
SMHS - Fiona Stanley Hospital	0	1	0	0	0	0	0	0	0	1
SMHS - Rockingham Peel Group	0	0	0	0	0	0	0	0	1	1
Water and Environmental Regulation, Dept of	0	0	0	1	1	0	0	0	0	2
Sub-total: State Agencies	2	7	1	2	1	0	0	0	1	14
Local Agencies:										
Armadale, City of	0	0	0	0	0	0	0	1	0	1
Melville, City of	0	0	0	0	0	2	0	0	0	2
Perth, City of	0	0	0	0	0	0	1	0	0	1
Swan, City of	0	0	0	0	0	0	1	0	0	1
Toodyay, Shire of	0	1	0	0	0	0	0	0	0	1
Sub-total: Local Agencies	0	1	0	0	0	2	2	1	0	6
TOTAL	2	8	1	2	1	2	2	1	1	20

Table 9: Formal training and presentations

DATE	PRESENTATION STYLE	TYPE
11/07/2019	FOI Decision Writing Workshop – DWW-2019-2	D
07/08/2019	FOI Coordinators Workshop – FCW-2019-5	С
12/09/2019	FOI Coordinators Workshop – FCW-2019-6	С
18/09/2019	WA Country Health Service Wheatbelt – Combined FOI and ROI presentation	А
2/10/2019	FOI Decision Writing Workshop – DWW-2019-3	D
18/10/2019	Training for Department of Justice	Α
18/10/2019	FOI in WA Briefing – Objective	В
18/10/2019	Piddington Society – FOI and Open Government	S
30/10/2019	FOI Coordinators Workshop – FCW-2019-7	С
31/10/2019	City of Rockingham	Α
31/10/2019	City of Rockingham	D
21/11/2019	FOI in WA Conference	Е
3/12/2019	FOI Coordinators Workshop – FCW-2019-8	С
16/12/2019	Minerals Research Industry of WA	А
29/01/2020	FOI Decision Writing Workshop – DWW-2020-1	D
04/02/2020	RE: Government accountability – Law and Practice Unit	S
20/02/2020	FOI Coordinators Workshop – FCW-2020-1	С
24/02/2020	FOI Briefing for Health Consumer Council	В
18/03/2020	FOI Coordinators Workshop – FCW-2020-2	C

A = Agency briefing

B =Community briefing

C = FOI Coordinators training

D = Decision-makers training

E = Event

S = Speaking engagement

# Table 10: Attendees at presentations

TRAINING SESSIONS (No.)	STATE GOVERNMENT	LOCAL GOVERNMENT	COMMUNITY	TOTAL
FOI Coordinators workshops (6)	69	31	0	100
Decision Writing workshops (4)	35	46	0	81
Sub-total:	104	77	0	181
BRIEFINGS (No.)				TOTAL
Agency briefings (4)	33	65	0	98
Community briefings (2)	0	0	69	69
Other FOI related speaking engagements (2)	0	0	81	81
Sub-total:	33	65	150	248
EVENTS (No.)				TOTAL
FOI in WA Conference (1)	245	76	0	321
Sub-total:	245	76	0	321
GRAND TOTAL	382	218	150	750

Table 11: Misdirected applications dealt with

Year	Department of Justice *	Western Australia Police	Other	Total
2008/2009	15	33	25	73
2009/2010	15	20	30	65
2010/2011	13	13	31	57
2011/2012	6	11	12	29
2012/2013	4	12	13	29
2013/2014	8	4	12	24
2014/2015	5	5	18	28
2015/2016	2	5	19	26
2016/2017	7	4	15	26
2017/2018	8	20	36	64
2018/2019	4	9	18	31
2019/2020	10	8	17	35
TOTAL	97	144	246	487
PERCENTAGE	20%	30%	51%	100%

<sup>\*</sup> Includes Acacia Prison and Melaleuca Remand & Reintegration Facility

# Table 12: OIC publications

Information Sheets for Members of the Public	Information Sheets for Agency officers
Applying for documents during the COVID-19 pandemic*	Amendment of personal information
Amendment of personal information	Calculating charges
Can I get everything I want under FOI?	Consulting third parties
Can others access information about me or my business?	Flowchart - Dealing with an FOI application
Can the agency refuse to deal with my application?	Key FOI principles for agencies
Children and people with intellectual difficulties	Key questions for decision-makers to consider
How long should it take to deal with an access application?	How long should it take to deal with an access application?
How much does it cost?	Information Statements and other required publications
Is FOI my best option?	Large, complex or time-consuming applications
Requirements for a valid access application	Making submissions to the Information Commissioner
Review of agency decisions	Releasing documents that may be technically exempt
Role of the Information Commissioner	Repeated requests and unreasonable conduct
Steps for access applicants	The exemptions
The public interest	Thinking outside the FOI box
What documents can I ask for?	Training for agencies
What happens in an external review?	What if the documents cannot be found?
What if the agency says it doesn't have the documents?	What if there are many third parties to consult?
What if the agency delays making a decision	What is personal information?
What is personal information?	What happens in an external review?
Who do I contact to ask for documents?	Writing a notice of decision

<sup>\*</sup>New publication in 2019/20

Information about the External Review Process	Information about the FOI Process	Guides to Exemptions under the FOI Act
External review procedure – guide for parties	COVID-19 Managing FOI timeframes and potential office closures *	Clause 4(2) – Information that has a commercial value
Producing documents to the Information Commissioner – guide for agencies	Accessing Government documents in Western Australia	Clause 4(3) – Business, professional, commercial or financial affairs
Documents that cannot be found or do not exist – guide for access applicants *	Third parties and their rights - guide for the public	Clause 6 – Deliberative processes of Government
Preparing for a conciliation conference - guide for parties	Calculating time and days guide	Clause 7 – Legal professional privilege
Understanding the conciliation process - guide for parties	Dealing with personal information about an officer of an agency	Clause 8 – Confidential communications
Consulting with third parties during external review - guide for agencies	FOI Coordinators Manual	Other publications
Decisions of the Information Commissioner – guide for access applicants	Dealing with requests for documents related to an 'exempt agency'	Information Statement Guidelines
Decisions of the Information Commissioner – guide for agencies		OIC Newsletter
Decisions of the Information Commissioner – guide		

<sup>\*</sup>New publication in 2019/20

for third parties

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#### Table 13: FOI in WA Conference program

	Welcome, Keynote Speech and Panel Discussion
8:45 - 9:00	Conference Opening – Catherine Fletcher, Information Commissioner
9:00 - 9:40	Keynote speech - Emeritus Professor Geoff Gallop AC
9:40 - 10:30	Panel Discussion - The role and challenges of FOI and open government in the current environment Catherine Fletcher, Information Commissioner; Geoff Gallop; Caroline Spencer, the Auditor General; Joanne Kummrow, Public Access Deputy Commissioner at the Office of the Victorian Information Commissioner and Ian Cowie, CEO of the City of Gosnells

#### Concurrent Session One - 11:00 to 11:40

Freedom of information and protection of privacy - balancing rights and managing conflicts State Solicitors Office

#### Skills for effective communication in the FOI process

Office of the Information Commissioner

#### Dealing with applications 'on behalf of'

Office of the Information Commissioner

#### Concurrent Session Two - 11:50 to 12:30

#### **Seeking the views of third parties**

Office of the Information Commissioner

#### What happens on internal and external review?

Office of the Information Commissioner

#### FOI in WA - how we fare and compare

Information Commissioner

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#### How to remain effective and healthy in a high pressured environment

Fiona Stanley Fremantle Hospitals Group

#### **Concurrent Session Three - 1:20 to 2:00**

#### I want my records and I want them now

State Records Office

#### **Panel Discussion - Proactive disclosure of digital information**

Office of the Information Commissioner, City of Perth and City of Vincent

#### **Key recent decisions of the Information Commissioner**

Office of the Information Commissioner

#### How to remain effective and healthy in a high pressured environment

Fiona Stanley Fremantle Hospitals Group

#### **Concurrent Session Four - 2:10 to 2:50**

#### Open By Design: Building a Culture of Openness - and Making it Real

Objective Corp Ltd

#### **Dealing with documents related to Ministers**

Department of the Premier and Cabinet

#### FOI and dogs, disputes and discontent

Office of the Information Commissioner

#### **Seeking the views of third parties**

Office of the Information Commissioner

3:15 - 4:00 Closing session and Q & A session with the Information Commissioner and staff of the OIC

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# Table 14: Injury management targets

Massaura	Act	tual	Results against target		
Measure	2018/19	2019/20	Target	Comment on Result	
Number of fatalities	0	0	Zero (0)		
Lost time injury and/or disease incidence rate (LTI/D)	0	0	Zero (0) or 10% reduction	No lost time injuries were experienced in the reporting period.	
Lost time injury and/or disease severity	0	0	Zero (0) or 10% reduction		
Percentage of injured workers returned to work within: (i) 13 weeks: (ii) 26 weeks:	N/A N/A	N/A N/A	Greater than or equal to 80% Greater than or equal to 80%		
Percentage of managers trained in occupational safety, health and injury management responsibilities	100%	100%	Greater than or equal to 80%		